

RESPONSE TRANSMITTAL AND FEE AUTHORIZATION

ATTORNEY DOCKET NO.: 15162/03060		SERIAL NO.: 09/765,229	
RECEIVED DATE: JANUARY 18, 2001	CONFIRMATION NO: 7378	EXAMINER MICHAEL J. STAHL	GROUP ART UNIT: 2874
INVENTOR(S): Koujirou SEKINE et al			
TITLE OF INVENTION: OPTICAL FUNCTIONAL DEVICE AND OPTICAL INTEGRATED DEVICE			

MAIL STOP RCE

COMMISSIONER FOR PATENTS
P. O. BOX 1450
ALEXANDRIA, VA 22313-1450

TRANSMITTED HERewith FOR THE ABOVE IDENTIFIED U.S.
PATENT APPLICATION IS:

- ☒ (A) A Preliminary Amendment in response to Office Action dated June 20, 2003.
- ☒ (B) A Petition for Extension of Time (\$420)
☐ for 1 month ☒ for 2 months ☐ for 3 months;
A Petition for Extension of Time, having been previously filed,
☐ for 1 month ☐ for 2 months ☐ for 3 months
- ☐ (C) A request for approval of proposed drawing changes.
- ☐ (D) A Letter to Official Draftsperson.
- ☐ (E) An Information Disclosure Statement.
- ☒ (F) Other: Request for Continued Examination (RCE) Pursuant to 37 C.F.R. § 1.114 (\$770)
- ☐ (G) A Notice of Change of Inventor Address.

☐ The claims fee, if any, has been calculated as shown below

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	0	MINUS	0	0
INDEP.	0	MINUS	0	0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY

RATE	ADD'L FEE
x \$9	\$
x \$43	
+ \$145	
TOTAL ADD'L FEE	\$ 0.00

LARGE ENTITY

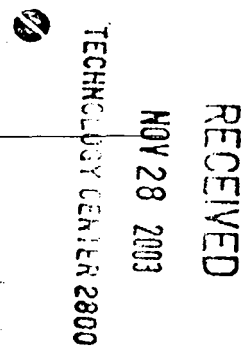
RATE	ADD'L FEE
x \$18	\$-0-
x \$86	\$-0-
+ \$290	
TOTAL ADD'L FEE	\$-0-

OR

- ☒ Please charge \$1190 to Sidley Austin Brown & Wood LLP's Deposit Account No. 18-1260, which includes
☐ the amount of \$0 for the claims fee calculated above AND/OR
☒ the amount of \$1190 for the fee for item(s) ☒ (B) ☐ (E) ☒ (F), *supra*
- ☒ Please charge any additional fees (other than issue fee) required during the pendency of this application to Deposit Account No. 18-1260. Please credit any overpayment to Deposit Account No. 18-1260.
- ☒ A duplicate copy of this Response Transmittal and Fee Authorization is enclosed.

November 19, 2003
SIDLEY AUSTIN BROWN & WOOD LLP
717 N. Harwood, Suite 3400
Dallas, Texas 75201
Main: (214) 981-3300
Direct: (214) 981-3482
Facsimile: (214) 981-3400

By:
Douglas A. Sorensen
Attorney for Applicants
Registration No. 31,570



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DATE OF DEPOSIT: NOVEMBER 19, 2003

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Derrick Gordon

Name of Person Mailing Paper or Fee

Signature

November 19, 2003

Date of Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/765,229
Applicants: Koujirou SEKINE et al
For: OPTICAL FUNCTIONAL DEVICE AND OPTICAL
INTEGRATED DEVICE
Confirmation No.: 7378
Customer No.: 24367
Docket No.: 15162/03060
Filed: January 18, 2001
Group Art Unit: 2874
Examiner: Michael J. Stahl

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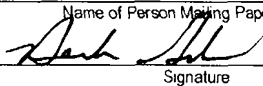
MAIL STOP RCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

EXPRESS MAIL MAILING LABEL NO. EL 794581323 US
DATE OF DEPOSIT: NOVEMBER 19, 2003
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Derrick Gordon
Name of Person Mailing Paper or Fee

Signature
November 19, 2003
Date of Signature

PRELIMINARY AMENDMENT

The following is a Preliminary Amendment, which is being submitted concurrently with the filing of a Request for Continued Examination.

A Petition for Extension of Time, to extend the response period for the Office Action, dated June 20, 2003, for two additional months to November 20, 2003, is being filed concurrently.

Application No. 09/765,229
Preliminary Amendment dated November 19, 2003
Reply to Office Action of June 20, 2003

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 9 of this paper.

Please amend the above-identified application as follows: